

## RESEARCH ARTICLE

# Critical flux Richardson number for Kolmogorov turbulence enabled by TKE transport

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In stably stratified flows, the flux Richardson number  $Ri_f$  is a measure of the ratio between buoyancy destruction and shear production of turbulent kinetic energy (TKE). In flows with local equilibrium between shear production, buoyancy destruction and dissipation of TKE, the critical  $Ri_{f,c} \approx 0.21$  corresponds to the limit above which Kolmogorov turbulence can no longer be sustained. Analysis of the TKE and velocity variance budget equations shows that the critical  $Ri_{f,c}$  is increased by the presence of positive turbulent transport of TKE. This situation is observed, for example, in the roughness sublayer above plant canopies, as demonstrated using field data from the Amazon rainforest.

**KEYWORDS**

flux Richardson number, Kolmogorov turbulence, supercritical turbulence, stratified turbulence

## 1 | INTRODUCTION

In stably stratified turbulent flows, the competing effects of shear and buoyancy are traditionally characterized by the gradient Richardson number  $Ri_g = N^2/S^2$ , where  $N = \sqrt{(-g/\rho_0)(d\rho/dz)}$  is the Brunt–Väisälä frequency ( $g$  is gravitational acceleration,  $\rho(z)$  is density,  $\rho_0$  is a reference value and  $z$  is height) and  $S = d\bar{u}/dz$  is the vertical shear of mean streamwise velocity  $\bar{u}(z)$ . Using linear stability analysis on steady, two-dimensional flow, Miles (1961) and Howard (1961) showed that  $Ri_g$  (assumed constant in space) has a critical value of 0.25 above which infinitesimal perturbations are damped. This definition, however, does not necessarily imply that turbulence is not sustained above this limit, as turbulence has been observed at values of  $Ri_g$  up to 100 (Zilitinkevich *et al.*, 2008). As other studies report turbulence decay or growth suppression at certain values of  $Ri_g$  (Grachev *et al.*, 2013), the existence of the critical gradient Richardson number remains a controversial issue.

The flux Richardson number, on the other hand, does have a critical value observed from various laboratory experiments, large-eddy simulation and direct numerical simulation (Zilitinkevich *et al.*, 2010; Katul *et al.*, 2014).  $Ri_f$  is defined as the ratio of buoyancy destruction ( $-B$ ) to shear production

( $P$ ) of turbulent kinetic energy (TKE). Although it is related to  $Ri_g$  by the turbulent Prandtl number ( $Ri_g/Ri_f = Pr_t = K_M/K_H$ , where  $K_H$  and  $K_M$  are the heat and momentum eddy diffusivities, respectively), the existence of a finite asymptotic value of  $Ri_f$ , while  $Ri_g$  remains unbounded, can be explained by the failure of the eddy diffusivity hypothesis: as the mean temperature gradient increases, the heat flux (and therefore  $-B$ ) remains bounded by a counter-gradient flux due to the buoyancy effect of potential temperature fluctuations (Zilitinkevich *et al.*, 2007).

From budget equations of TKE and density (or virtual temperature) variance, Ellison (1957) found  $Ri_{f,c} \approx 0.15$  whereas Townsend (1958) obtained  $Ri_{f,c} \approx 0.50$ , the difference resulting from different closure assumptions (Yamada, 1975). Using velocity variance budget equations with the simplest linear Rotta closure model for return-to-isotropy terms (Rotta, 1951), and assuming isotropy for the velocity variance dissipation terms, Bou-Zeid *et al.* (2018) obtained  $Ri_{f,c} = 0.21$ . This is exactly equal to the value obtained by Mellor and Yamada (1974) and Yamada (1975) using analytical models for the full Reynolds stress tensor and temperature variance budgets, along with more detailed redistribution models. In all of these analyses, the usual assumptions of the canonical atmospheric surface layer (ASL) are invoked (stationarity, horizontal homogeneity, and

negligible turbulent transport of TKE or velocity and scalar variances).

In the canonical ASL, the flux Richardson number is often presented in the framework of Monin–Obukhov similarity theory (MOST) and is written in the form  $Ri_f = \zeta \phi_m^{-1}(\zeta)$ , where  $\zeta = z/L$  is the stability parameter,  $z$  is the height above ground level,  $L$  is the Obukhov length and  $\phi_m(\zeta)$  is the non-dimensional vertical gradient of mean streamwise velocity. Experimental data show that, in the stable ASL,  $\phi_m(\zeta) = 1 + \beta\zeta$  with  $\beta \approx 5$ , resulting in an asymptotic  $Ri_f \rightarrow 1/\beta \approx 0.2$  for  $\zeta \rightarrow \infty$  (increasing stability) (Wyngaard, 2010, p. 281). This result provides an upper limit for  $Ri_f$  which is remarkably close to the critical value obtained from TKE and velocity variance budgets. Note that MOST also assumes steady-state and horizontally homogeneous conditions, and although it does not explicitly assume zero turbulent transport, the similarity functions obtained empirically correspond to a TKE budget in which most of the local production is balanced by local buoyant destruction and dissipation (Chamecki *et al.*, 2018).

In spite of the consensus over  $Ri_{f,c} \approx 0.20 - 0.25$  from theory and observations (Zilitinkevich *et al.*, 2010), in the atmosphere this value is likely to be related to the assumptions of the canonical ASL. For example, Grachev *et al.* (2013) observed that a well-defined inertial subrange with a  $-5/3$  slope on the energy spectrum (i.e., Kolmogorov turbulence) was observed for  $Ri_f$  up to 0.20 – 0.25 in the ASL over the Arctic. In contrast, Babić and Rotach (2018) observed Kolmogorov turbulence in data with  $Ri_f > 0.25$  from measurements in a deciduous canopy roughness sublayer, speculating that the cause may be associated with surface heterogeneity. Chamecki *et al.* (2018) noted a large number of data points in the range  $0.25 < Ri_f < 1.5$  in the roughness sublayer above the Amazon rainforest, mostly in conditions for which local production was smaller than local dissipation of TKE ( $\epsilon$ ).

In this work, we hypothesize that turbulent transport of TKE can maintain Kolmogorov turbulence above  $Ri_f \approx 0.20 - 0.25$ . Extending the approach presented by Bou-Zeid *et al.* (2018), we derive a new  $Ri_{f,c}$  that includes the TKE transport term. In the reduced TKE phase space proposed by Chamecki *et al.* (2018), this defines a new region of *transport-enabled turbulence*. We use the same dataset as presented by Chamecki *et al.* (2018) to test this hypothesis and characterize roughness-sublayer turbulence above a forest canopy in this regime.

## 2 | CRITICAL RICHARDSON NUMBER IN THE PRESENCE OF TURBULENT TRANSPORT

We start from the reduced TKE budget as defined by Chamecki *et al.* (2018), assuming that the turbulent transport of TKE is solely responsible for the local

production–dissipation imbalance ( $R$ ), that is,

$$\underbrace{-\overline{u'w'}}_P \frac{d\overline{u}}{dz} + \underbrace{\frac{g}{\theta_v} \overline{w'\theta'_v}}_B - \epsilon = R \approx \underbrace{\frac{d\overline{w'e}}{dz}}_{-\epsilon_e}. \quad (1)$$

Similarly, we write the half-variance budget equations under stationary and horizontally homogeneous conditions as

$$\underbrace{-\overline{u'w'}}_P \frac{d\overline{u}}{dz} - \underbrace{\frac{1}{2} \frac{d\overline{w'u'u'}}{dz}}_{T_u} + \underbrace{\frac{p'}{\rho_0} \frac{\partial \overline{u'}}{\partial x}}_{\Pi_u} - \epsilon_u = 0, \quad (2)$$

$$-\frac{1}{2} \frac{d\overline{w'v'v'}}{dz} + \frac{p'}{\rho_0} \frac{\partial \overline{v'}}{\partial y} - \epsilon_v = 0, \quad (3)$$

$$\underbrace{\frac{g}{\theta_v} \overline{w'\theta'_v}}_B - \underbrace{\frac{1}{2} \frac{d\overline{w'w'w'}}{dz}}_{T_w} + \underbrace{\frac{p'}{\rho_0} \frac{\partial \overline{w'}}{\partial z}}_{\Pi_w} - \epsilon_w = 0, \quad (4)$$

where  $e = (u'u' + v'v' + w'w')/2$  and  $\bar{e}$  is the TKE,  $u$ ,  $v$  and  $w$  are the streamwise, cross-stream and vertical velocity components, respectively,  $\theta_v$  is virtual temperature,  $\rho_0$  is a reference density,  $p$  is pressure, and  $\epsilon$ ,  $\epsilon_u$ ,  $\epsilon_v$  and  $\epsilon_w$  are the dissipation rates of the TKE and half-variance components, respectively. Overbars and primes represent the ensemble mean and fluctuation, respectively. To simplify notation, hereafter we use  $P$  for shear production,  $B$  for buoyancy production/destruction,  $T$  for the turbulent transport and  $\Pi$  for pressure redistribution, as indicated in Equations 1–4.

As proposed by Bou-Zeid *et al.* (2018), by summing Equations 2 and 3, assuming an approximately isotropic dissipation rate to write

$$\epsilon_u + \epsilon_v = 2\epsilon_w \quad (5)$$

and using Equation 4 to replace  $\epsilon_w$ , all three variance equations can be combined into one equation given by

$$P + T_u + T_v + \Pi_u + \Pi_v = 2B + 2T_w + 2\Pi_w. \quad (6)$$

Because the pressure redistribution terms add up to zero, that is,

$$\Pi_u + \Pi_v = -\Pi_w, \quad (7)$$

this equation can be further reduced to

$$P + T_u + T_v - 2T_w - 3\Pi_w = 2B \quad (8)$$

or, rewriting it,

$$\frac{\Pi_w}{P} + \frac{2T_w - T_u - T_v}{3P} = \frac{1}{3} + \frac{2}{3} Ri_f, \quad (9)$$

where  $Ri_f = -B/P$  is the flux Richardson number.

Following Mellor and Yamada (1974) and Bou-Zeid *et al.* (2018), a linear Rotta-type closure is adopted for the pressure redistribution term (Rotta, 1951; Davidson, 2004), namely

$$\Pi_w = -\frac{c\epsilon}{e} \left( \overline{w'w'} - \frac{2}{3} \bar{e} \right). \quad (10)$$

This closure is used in Equation 9, which is then combined with Equation 1 in the form

$$\epsilon/P = 1 - Ri_f + T_e/P, \quad (11)$$

resulting in

$$\frac{\overline{w'w'}}{\bar{\epsilon}} = -\frac{1}{3c} \left[ \frac{1 + 2Ri_f - 3T_w/P + T_e/P}{1 - Ri_f + T_e/P} \right] + \frac{2}{3}. \quad (12)$$

This relationship between  $\overline{w'w'}$  and  $Ri_f$  reveals a critical value of  $Ri_f$  over which  $\overline{w'w'}$  would become negative, and the constraint of  $\overline{w'w'} > 0$  yields a constraint on  $Ri_f$ :

$$Ri_f < \frac{2c-1}{2c+2} + \frac{3}{2c+2} \frac{T_w}{P} + \frac{2c-1}{2c+2} \frac{T_e}{P}. \quad (13)$$

In the absence of turbulent transport (i.e.,  $T_e = T_w = 0$ ) and adopting  $c = 0.9$  for the closure constant of the Rotta model, this equation yields a critical Richardson number  $Ri_{f,c} \approx 0.21$  (Bou-Zeid *et al.*, 2018). For positive transport, this critical value is enhanced by the ratios  $T_w/P$  and  $T_e/P$ , allowing turbulence to be sustained under stronger stratification. Equation 13 can be simplified even further by assuming  $T_w = \alpha T_e$  (see Figure S1 in the Supporting Information), which gives

$$Ri_f < \frac{2c-1}{2c+2} + \frac{3\alpha + 2c-1}{2c+2} \frac{T_e}{P}. \quad (14)$$

For positive net TKE turbulent transport  $T_e > 0$  (implying its vertical gradient in Equation 1 is negative and it is thus a source that augments TKE), Equation 14 provides a transport-enhanced critical flux Richardson number. If the values  $c = 0.9$  (Katul *et al.*, 2013) and  $\alpha = 0.28$  (valid for the present data; see Figure S1 in the Supporting Information) are used, this yields a critical flux Richardson number

$$Ri_{f,c} \approx 0.21 + 0.43 T_e/P. \quad (15)$$

It is important to note that Rotta's model (Equation 10) is the simplest closure available for the pressure redistribution term, representing only the slow part of the process (Davidson, 2004). In the presence of large mean velocity gradients, such as those for the flow above plant canopies, fast redistribution terms can also be important (see, e.g., Launder *et al.*, 1975). For simplicity and generality, we focus on first-order effects and use only the slow component here.

### 3 | FIELD DATA

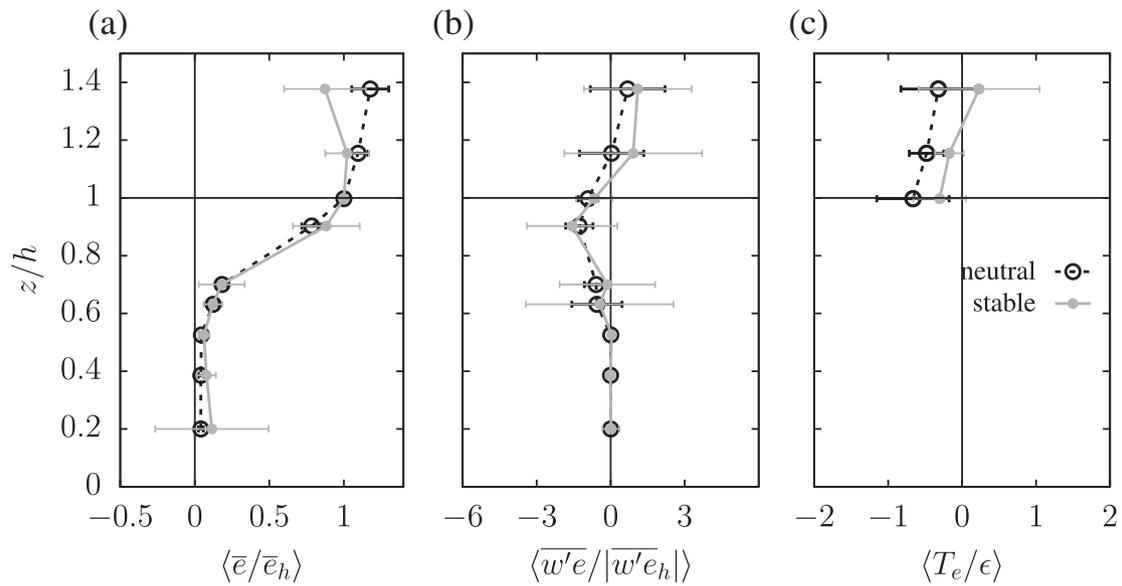
Data from the GoAmazon experiment (Fuentes *et al.*, 2016) are used to test the existence of turbulence and its characteristics in the transport-enabled region predicted by Equation 14. This dataset consists of wind velocity (three components) and virtual temperature measured at 20 Hz by nine sonic anemometers (model CSAT3, Campbell Scientific, Inc., Logan, UT) mounted on a 50 m tower in the Amazon rainforest. Measurement heights correspond to  $z/h = 0.20, 0.39, 0.52, 0.63, 0.70, 0.90, 1.00, 1.15$  and  $1.38$ , where  $h = 35$  m is the approximate canopy height. Data were collected

continuously between March 2014 and January 2015 and separated into blocks of 30 min starting at 0000 h local time. Blocks with more than 1 s of consecutive error flags were discarded, and the remaining missing values were replaced by the previous measurement. A planar fit for the entire dataset was performed to correct for instrument tilting (Wilczak *et al.*, 2001) using blocks with mean wind direction at the highest anemometer within  $\pm 90^\circ$  of the instrument axis (the remaining blocks were discarded). Blocks with negative heat flux at  $z/h = 1$  were filtered with a 3-min top-hat high-pass filter to eliminate non-turbulent oscillations that can be significant under stably stratified conditions (Mahrt, 2014). Using the criteria proposed by Vickers and Mahrt (1997), blocks with non-stationary ratios larger than or equal to 0.5 were discarded. Blocks were further selected by the existence of an inertial subrange in the second-order longitudinal structure function with a slope within 10% of the theoretical prediction of  $2/3$  (Kolmogorov, 1941), estimated in the range  $0.5 \leq r \leq \frac{2m}{m}$ , which was then used to infer TKE dissipation rates via  $\Delta u^2 = C_2(re)^{2/3}$  with  $C_2 = 1.97$  following Chamecki and Dias (2004). A time-varying displacement height  $d_0$  was estimated from measurements of momentum flux inside the canopy (Pan and Chamecki, 2016) and blocks with  $d_0 < 0$  or  $d_0 > h$  were also discarded. A total of 850 blocks from each height remained for the present analysis.

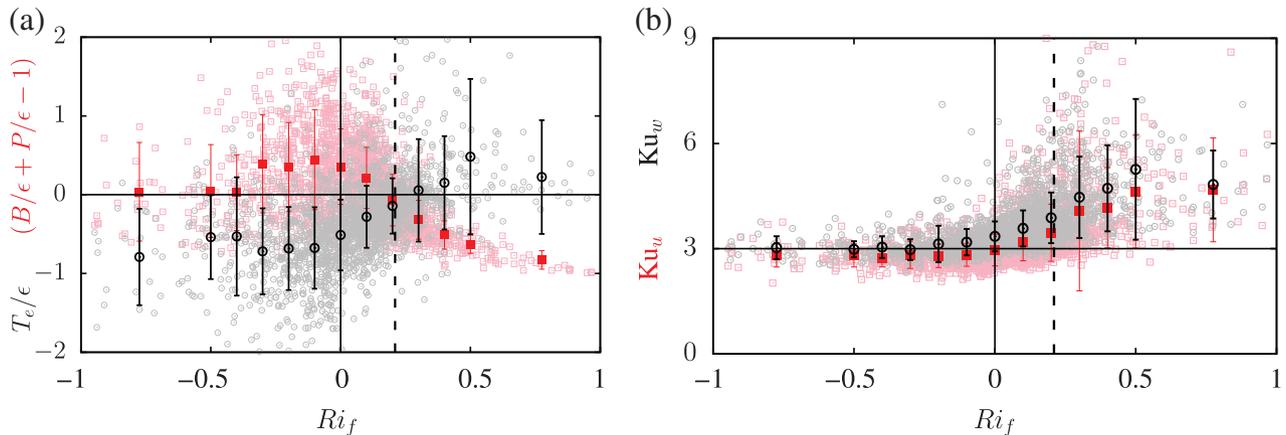
The data analyses focus on turbulence in the roughness sublayer above the forest canopy, that is, at  $z/h \geq 1$ . Therefore, mean velocity gradients needed to estimate the shear production were determined using a second-order polynomial fit in  $\ln(z)$  (Högström, 1988) using data from the four upper anemometers, as they follow an approximately logarithmic profile (see Chamecki *et al.*, 2018 for examples). To estimate turbulent transport of TKE, a second-order polynomial fit in  $z$  was adjusted to the TKE vertical flux  $\overline{w'e}$  from the upper three anemometers, because fluxes at  $z/h = 0.90$  did not always conform to the curvature of the upper three anemometers. Although it is not possible to assess the quality of the fit (as these are fits of second-order polynomials to three data points), the overall agreement with the literature on canopy flows serves as an indirect indication that the fits are reasonable.

### 4 | RESULTS

To establish confidence in our dataset and provide a basis for comparison, we first look at results under near-neutral conditions (defined as  $|Ri_f| < 0.04$  at  $z/h = 1.38$ ). In this case, the normalized shear length-scale  $L_s/h = [\overline{u}(h)/(d\overline{u}/dz)_h]/h$  is on average 0.47, which is typical for forest environments (Finnigan, 2000). The TKE increases monotonically with height, with a very large gradient in the upper half of the canopy (Figure 1a). This produces a turbulent flux of TKE that is predominantly negative inside the canopy and positive above (Figure 1b), with a positive gradient where  $z/h > 1$ .



**FIGURE 1** Vertical profiles of normalized (a) TKE, (b) turbulent flux of TKE and (c) net vertical turbulent transport of TKE for neutral conditions ( $|Ri_f| < 0.04$  at  $z/h = 1.38$ , open black symbols) and stable conditions ( $Ri \geq 0.04$  at  $z/h = 1.38$ , closed grey symbols). Circles represent averages over data blocks and error bars represent one standard deviation. The TKE turbulent transport was estimated from a second-order polynomial fit to the turbulent flux of TKE above the canopy (three uppermost points)



**FIGURE 2** (a) Normalized local imbalance between production and dissipation of TKE (red squares) and turbulent transport of TKE (black circles) displayed as a function of the flux Richardson number  $Ri_f$ . (b) Kurtosis of streamwise ( $Ku_u$ ) and vertical ( $Ku_w$ ) velocities as a function of the flux Richardson number. The symbols represent ensemble averages conditioned on  $Ri_f$  and the error bars represent one standard deviation. The dashed line indicates  $Ri_f = 0.21$

Thus, in agreement with the current understanding of canopy flows in neutral conditions (Finnigan, 2000), we observe net turbulent transport of TKE into the canopy region ( $T_e < 0$  for  $z/h > 1$ ; Figure 1c). This leads to an imbalance between local production and dissipation above the canopy ( $P/\epsilon > 1$ ), which decreases with height, reaching a nearly balanced state at the transition between the roughness sub-layer and the surface layer above (Pan and Chamecki, 2016). Under stable conditions, the shear length-scale is reduced, on average, to  $L_s/h = 0.34$ , suggesting less penetration of shear-layer eddies into the canopy. However, the main point of interest here is that the TKE profile is no longer monotonic in the near-canopy region, displaying a clear maximum at the canopy top. This leads to predominantly positive net turbulent transport of TKE far above the canopy (at  $z/h = 1.38$ ; Figure 1c), so that here  $(P + B)/\epsilon < 1$ , as observed

by Chamecki *et al.* (2018). Although this particular feature has not yet been discussed in the literature, it has also been observed above deciduous forests (Leclerc *et al.*, 1990; Babić and Rotach, 2018). In the present study, the existence of this region with positive net transport of TKE is connected with the existence of Kolmogorov turbulence with  $Ri_f > 0.21$ , as discussed next.

As an initial step in exploring the relationship between the flux Richardson number and turbulent transport of TKE, Figure 2a presents the normalized transport  $T_e/\epsilon$  and the residual  $R/\epsilon$  (Equation 1) versus  $Ri_f$  for the data measured above the forest canopy ( $z/h \geq 1$ ). Despite the large amount of scatter, it is quite remarkable how clearly, on average, the line  $Ri_f = 0.21$  separates points with positive transport from points with negative transport. It is also clear that, on average, the turbulent transport of TKE is responsible for a significant

portion of the imbalance between local production and dissipation of TKE. Figure 2b shows the increase of kurtosis of streamwise and vertical velocity with  $Ri_f$  in the stable case, indicating an increase in the importance of strong events as stability increases.

To demonstrate more clearly the relationship between the flux Richardson number and transport of TKE, the same data are displayed on the TKE phase space developed by Chamecki *et al.* (2018) in Figure 3. This two-dimensional diagram presents data points according to  $P/\epsilon$  and  $B/\epsilon$ , and the local imbalance between TKE production and dissipation is proportional to the distance to the local balance line (indicated in Figure 3 by the black solid line given by  $B + P = \epsilon$ ). Each subfigure also explicitly shows the value of  $Ri_f$  as a straight line emanating from the origin (the line of constant  $Ri_f$  increasing clockwise). The runs with  $Ri_f > 0.21$  present very large normalized TKE ( $\bar{\epsilon}/u_s^2$ , where  $u_s$  is the local friction velocity) and predominantly positive net turbulent transport of TKE (Figure 3a,b). These results suggest that the large TKE content in this region of the phase space is not associated with local production, but is rather transported by turbulence from elsewhere (note that non-turbulent variance typically observed under stable conditions has been removed by the 3-min high-pass filter used for stable runs). Thus, turbulent transport seems to sustain turbulence in stratified environments with  $Ri_f > 0.21$ .

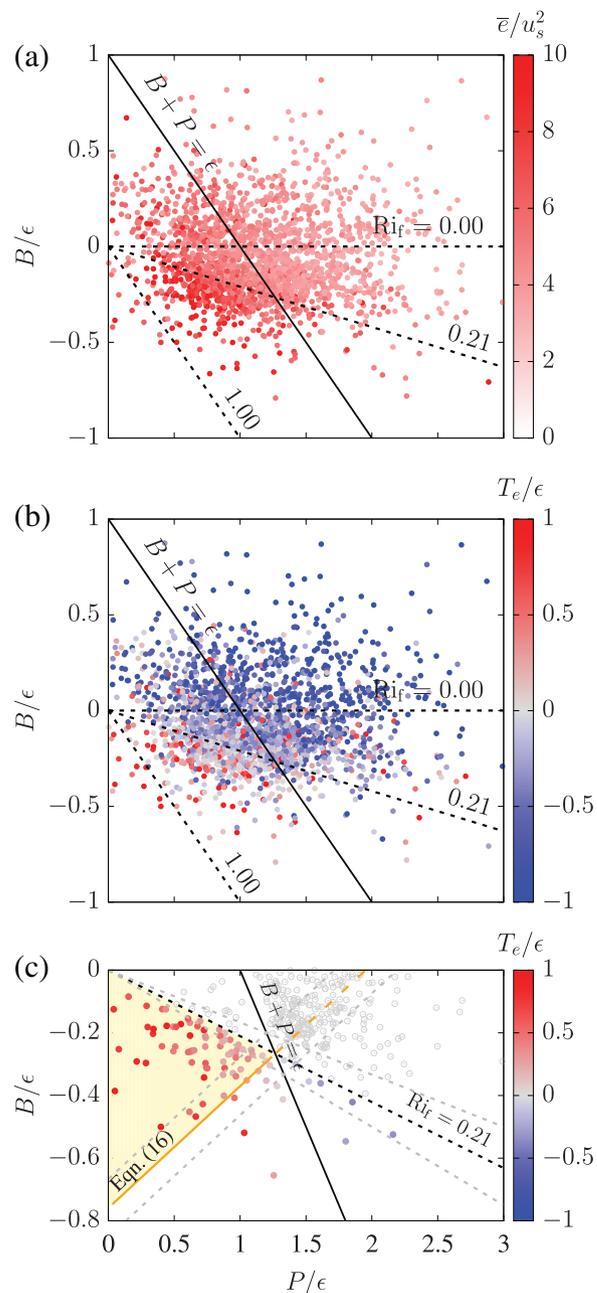
Given that transport can maintain turbulence above  $Ri_f = 0.21$ , it is of interest to delineate this region in the TKE phase space. To do so, we must assume that the local imbalance between production and dissipation is only caused by turbulent transport, so that Equation 1 can be used in the form  $T_e/\epsilon = 1 - B/\epsilon - P/\epsilon$  to rewrite Equation 14 as

$$\frac{B}{\epsilon} > \frac{\alpha}{(1-\alpha)} \frac{P}{\epsilon} + \frac{1-3\alpha-2c}{3-3\alpha}. \quad (16)$$

Equation 16 is displayed in Figure 3c, marking the region where turbulent transport is sufficient to sustain turbulence. However, Equation 1 is only approximately satisfied by the observations, as the turbulent transport is estimated independently from the imbalance (other potential sources of imbalance are non-stationarity, mean advection and pressure transport). Hereafter, we restrict our analysis to only those runs in which turbulent transport is a significant portion of the total imbalance. We define the parameter

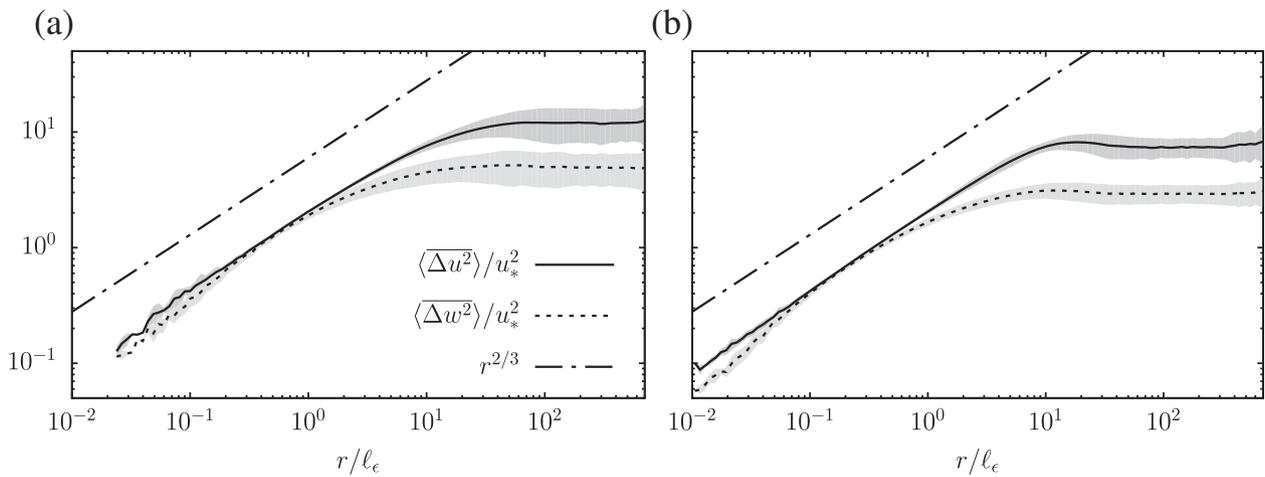
$$\eta = \frac{(R + T_e)^2}{R^2 + T_e^2}, \quad (17)$$

which is a measure of the fraction of the imbalance accounted for by the turbulent transport. Note that by construction  $0 \leq \eta \leq 2$ , with  $\eta = 0$  implying that all the imbalance is caused by transport ( $-T_e = R$ ). The distribution of  $\eta$  for the GoAmazon data can be found in Figure S2 in the Supporting Information. Hereafter we restrict the data analysis to only those runs with  $\eta \leq 0.2$ , which ensures that transport is at least 50% of the total imbalance ( $-T_e \geq 0.5R$ ).



**FIGURE 3** Data from  $z/h \geq 1$  displayed on the TKE phase space, colour-coded based on values of (a) TKE normalized by local friction velocity ( $\bar{\epsilon}/u_s^2$ ) and (b) turbulent transport of TKE normalized by the local dissipation rate ( $T_e/\epsilon$ ). (c) The same as (b) but including only data for  $B < 0$ . The orange line represents Equation 16 for  $c = 0.9$ , the yellow region represents the transport-enabled region and the grey lines represent  $Ri_{f,c}$  and Equation 16 for  $c = 0.8$  and 1. Only points with  $\eta \leq 0.2$  are displayed in (c)

Most of the remaining runs (68 of the 86 runs with  $Ri_f > 0.21$ ) fall in the region of transport-enabled turbulence as predicted by Equation 14 (Figure 3c). All these points have positive net transport as expected. This result does not depend on the choice of  $c = 0.9$ , as the change in  $Ri_{f,c}$  (as well as the change in the region of transport-enabled turbulence) is small for  $0.8 \leq c \leq 1$  (see Figure 3c). As imposed by the data selection criterion, all points shown in Figure 3c present a well-defined inertial subrange with a 2/3 slope region in the second-order structure function of



**FIGURE 4** Second-order structure function of streamwise velocity (solid line) and vertical velocity (dashed line) normalized by the friction velocity at the canopy top ( $u_*$ ) and the dissipation-based length-scale  $\ell_\epsilon = u_*^3/\epsilon$  (Pan and Chamecki, 2016) (average over data blocks). Shaded areas are one standard deviation. Dashed-dotted straight line corresponds to the 2/3 slope. Results are shown for (a) transport-enabled turbulence (68 runs) and (b) stable runs with  $0.04 \leq Ri_f \leq 0.21$  (211 runs). Note that the data were high-pass filtered before the structure functions were calculated, which affects the large scales of streamwise velocity (see Figure S4 in the Supporting Information)

streamwise velocity (Figure 4). An inertial subrange is also clearly present in the vertical velocity structure function, and no appreciable differences are found in the structure functions for transport-enabled turbulence and other stable runs with  $Ri_f < 0.21$ . Thus, we can conclude that the turbulence maintained by turbulent transport in the yellow region of the diagram displays a clear “Kolmogorov” energy cascade. This is in contrast to the surface layer results reported by Grachev *et al.* (2013), where large TKE transport is likely not present. Note, however, that the value of  $\overline{\Delta w^2}/\overline{\Delta u^2} \approx 1$  in the inertial subrange indicates that the portion of the inertial subrange sampled in these data deviates from local isotropy (as local isotropy implies a ratio of 4/3 (Pope, 2000)). Ratios  $\overline{\Delta w^2}/\overline{\Delta u^2} \approx 1$  have also been observed in the ASL by Chamecki and Dias (2004) and Chamecki *et al.* (2018), and in the roughness sublayer by Babić and Rotach (2018). Although the evidence for anisotropy within the inertial subrange of atmospheric turbulence is building up, further investigation is needed to discard other possibilities. At this point, it is not clear if local isotropy will be reached at scales smaller than those typically sampled by sonic anemometers or if these sensors introduce distortions in the flow field that lead to anisotropic ratios. Finally, the remaining 18 runs, which are outside the transport-enabled region, also display a clear inertial subrange, and the existence of Kolmogorov turbulence in these runs cannot be explained by turbulent transport of TKE (as  $Ri_f > Ri_{f,c}$ ).

Although turbulence with  $Ri_f > 0.21$  is sustained by transport rather than by local production alone, it does not present distinctly different characteristics from typical turbulence in the stable ASL. A visual inspection of the time series of vertical velocity (not shown) suggests that most runs are characterized by continuous turbulence, with very few runs (both above and below the limit  $Ri_{f,c} = 0.21$ ) displaying mild global intermittency. Runs with stronger global

intermittency, typically observed in strongly stratified surface layers (as shown in, e.g., Sun *et al.*, 2002, 2004), were removed from our analyses by the stationarity tests applied. We do not observe any trend in the non-stationarity ratios with  $Ri_f$  (see Figure S3 in the Supporting Information), confirming that the transport-enabled turbulence identified in the present data is in an equilibrium state, unlike the decaying turbulence observed during periods of increased stratification by Grachev *et al.* (2013). Perhaps the most distinctive feature of the turbulence at elevated values of  $Ri_f$  is the increase in the kurtosis of streamwise and vertical velocity components (Figure 2b). This departure from Gaussianity, which is expected in stably stratified turbulence (Chu *et al.*, 1996; Ferrero and Anfossi, 1998), increases gradually with increasing  $Ri_f$  and does not suggest a sharp transition in behaviour at the onset of transport-enabled turbulence.

## 5 | CONCLUSION

A simple analysis of the budgets for TKE and variances of the velocity components, with conventional closure assumptions but including the turbulent transport of TKE, reveals that the critical flux Richardson number for the existence of Kolmogorov turbulence can be increased by positive turbulent transport (as compared to the case with negligible transport for which  $Ri_{f,c} \approx 0.21$ ). In the TKE phase space, this leads to a well-defined region of transport-enabled turbulence. Data in the canopy roughness sublayer collected over the Amazon rainforest display a region of positive transport under stable conditions. We show that, for data selected based on the existence of a Kolmogorov inertial subrange, transport becomes positive around  $Ri_f \approx 0.21$ . For the cases in which the transport corresponds to at least half of the

imbalance between TKE local production and dissipation, the transport explains the existence of Kolmogorov turbulence in 79% of the 86 runs with  $Ri_f > 0.21$ . This result confirms our initial hypothesis that in flows where turbulent transport of TKE is positive, Kolmogorov turbulence can be sustained under stronger stable stratifications than previously assumed.

## ACKNOWLEDGEMENTS

L.S.F. and N.L.D. were funded by the Brazilian National Council for Scientific and Technological Development (CNPq) under contracts 150769/2017-2 and 301420/2017-3, respectively. M.C. acknowledges partial funding from the National Science Foundation (grant AGS-1644375). E.B.-Z. was supported by the Princeton Environmental Institute's Grand Challenges program. The processed data needed for reproducing the figures are available from the authors upon request (chamecki@ucla.edu).

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## SUPPORTING INFORMATION

Additional Supporting Information may be found online in the supporting information tab for this article.

**How to cite this article:** Freire LS, Chamecki M, Bou-Zeid E, Dias NL. Critical flux Richardson number for Kolmogorov turbulence enabled by TKE transport. *Q J R Meteorol Soc.* 2019;145:1551–1558. <https://doi.org/10.1002/qj.3511>